DEVELOPMENT OF A COMPOSITE OF POLY-ε-CAPROLACTONE-CERIUM OXIDE

Alejandra Melissa Martínez-Hernández¹, Simón Yobanny Reyes-López*²

¹, *² Departamento de Ciencias Químico Biológicas, Instituto de Ciencias Biomédicas
Universidad Autónoma de Ciudad Juárez, México

Abstract

Nanotechnology is used in a variety of sectors for the manufacture of materials. However, there is a risk from exposure to nanomaterials due to their chemical composition and processing form, giving rise to health risks. CeO₂ particles present antioxidant properties that accelerate the wound poly-ε-caprolactone (PCL) provides the property of biocompatibility and biodegradability of the material. For the regulation of the transport and release of cerium oxide particles was incorporated into a polymeric matrix composed of PCL. Therefore, in this study we propose the elaboration of a composite material of poly-ε-caprolactone-cerium by the electrospinning technique. Electrospinning for example has been widely used to fabricate scaffolds imitating the structure of natural extracellular matrix. The fibers obtained with an average diameter of 600 nm was characterized by confocal microscopy, scanning electron microscopy, infrared spectroscopy, Differential thermal analysis, Thermogravimetric Analysis and Differential Scanning Calorimetry. Additionally, genotoxic effect was evaluated by comet assay in Vicia faba cell nuclei, results show that the material made has no genotoxic effects in Vicia faba cells.

Keywords: Electrospinning; Fibers; Oxide de Cerium; Poly-ε-Caprolactone; Comet Assay.


1. Introduction

Nanomaterials have an important impact on society; they are used in diverse fields by the properties they present, such as high surface area, greater hardness, elasticity, and electrical conductivity, etc. These unique characteristics plus the functionalities from the polymers themselves impart nanofibers with many desirable properties for advanced applications [1].

However, there is a risk from exposure to nanoparticles that occurs mainly by inhalation, ingestion and contact with the skin [2]. Alternatively, it is possible to regulate the transport and
release of nanoparticles from a polymer matrix, resulting in the formation of a composite by unifying and potentiate the properties of both components\textsuperscript{3}. Composite are of interest to several industries due to their attractive properties, research has been interested on composite materials to optimize their processing parameters as well as optimize their mechanical behavior and reliability. Although composite is possible to provide excellent mechanical, chemical and biological properties, only incorporating nanoparticles\textsuperscript{1-3}.

Electrospinning technique is a method used to obtain polymer fibers whose diameter is less than 100 nm. It uses a high voltage electric field to form a stream of polymeric fluid. Electrospinning process is performed by applying high voltage to a polymer filled capillary which will flow with the aid of a pump and an electrode, the resulting fibers are collected in a grounded plate (collector). As the electric field strength increases, the semispherical surface of the fluid at the tip of the capillary tube takes a conical shape known as a Taylor cone. The parameters and processing variables that affect the electrospinning method, are molecular weight, distribution, architecture and process parameters as distance from the collector and environmental conditions (temperature and humidity). Fibers have been successfully applied in various fields such as medicine, information and communication, certain drugs, textiles, agriculture and food, etc.,\textsuperscript{1-4}. Cerium oxide (CeO\textsubscript{2}) is a non-cytotoxic oxide-metal powder that exhibits beneficial properties for humans, predominating its antioxidant capacity, that allows accelerating wound healing by sequestering excess superoxide radicals in cells and thus decreasing the chronic inflammation\textsuperscript{5,6}. By decreasing the excess reactive oxygen species (ROS) in the cells, avoids the alteration of cellular functionalities contributing to the decrease of degenerative diseases as: cancer, neurological diseases, cardiovascular, diabetes and chronic inflammatory conditions such as rheumatoid arthritis\textsuperscript{7,8}.

Poly-\varepsilon-caprolactone (PCL) is a biodegradable, biocompatible linear aliphatic polyester, bioresorbable, and non-toxic polymer widely used in the biomedical area, tissue engineering, skin regeneration, scaffolding support for fibroblasts and growth of osteoblasts in systems of continuous bone repair\textsuperscript{2,6}. Pharmaceutical industry uses polymer biocompatibility matrices as delivering systems for active principles. This methodology allows the fabrication of membranes for biomedical use as biological tissue regeneration for dressings, environmental remediation and water treatment\textsuperscript{2,7,8}.

Single cell gel electrophoresis assay (SCGE) commonly known as comet assay is a method for the evaluation of induced DNA damage in individual cells\textsuperscript{9}. It has been used in various areas, including biological control human, genotoxicology, ecological monitoring and as a tool for research on DNA damage or repair in different cell types, in response to exposure of genotoxic substances (UV and oxidative damage). This assay has been applied in organisms such as bean plants “\textit{Vicia faba}”, polychaeta “\textit{Nereis virens}”, worms “\textit{Eisenia fetida}”, mussels “\textit{Mytilus edulis}”, oysters “\textit{Crassostrea virginica}”, fish “\textit{Onchorynchus mykiss}”, frogs “\textit{Rana clamitans}” y mice “\textit{Mus musculus}” for research on DNA damage in response to exposure of genotoxic substances\textsuperscript{10,11,12}. This study proposes the elaboration of a Poly-\varepsilon-caprolactone-cerium (PCL-CeO\textsubscript{2}) composite material using the electrospinning technique. This material would allow transport and release of cerium oxide particles by incorporating them into a polymer matrix composed of poly-\varepsilon-caprolactone, unifying and potentializing the properties of both materials.
Another goal of this study was to characterize the microstructure and genotoxic properties of the structured poly-Ɛ-caprolactone-cerium composite.

2. Materials and Methods

*Preparation of solutions of PCL*

100 mL of a 10% PCL polymer solution (P/V) were prepared using acetone as the solvent. 10 mL of the prepared solution were deposited in 10 vials. then a was performed 1:1 dilution of acetone with nonionic surfactant by adding to 5 vials 1 mL of this solution for each 10 mL of PCL solution, mixed and sonicated for 30 min.

*Preparation of solutions of PCL-CeO₂*

CeO₂ powders with a particle size < 250 nm were deposited at different concentrations: 0.25, 0.5, 1 and 1.5%, in the 5 vials with 10 mL of the 10% PCL solution without nonionic surfactant, mixed and sonicated for 30 min. In a second batch the CeO₂ powders were deposited at the different established in 5 vials with 10 mL of the 10% PCL; 1 mL of a nonionic surfactant was added to each solution (1:1), mixed and sonicated for 30 min.

*Obtaining of fibers by technique electrospinning*

Polymer solutions of 10% PCL and PCL-CeO₂ at different concentrations (0.25, 0.5, 1 and 1.5%) with and without surfactant were subjected to electrospinning method, controlling the parameters of voltage, solution flow and distance between collector and needle.

*Characterization*

A characterization of the crystalline planes of the ceria was carried out using an X-ray diffractometer with a Cu Kα radiation of 1.540 nm, operating at 20 Kv range from 5 to 80°. The morphologies of the PCL–CeO₂ nanocomposites collected on carbon-coated copper grids were observed with a scanning electron microscopy (SEM, JEOLJSM-6400) operated at 20 kV. Before observation, the scaffolds were coated with platinum using a sputter coating. Fiber diameter was measured from the SEM micrographs. FTIR spectra were taken with an Alpha Platinum-ATR spectrometer and transmission spectra of the samples were obtained by forming fiber films. Differential Scanning Calorimetry was employed to study thermal behavior of as-spun fibers. Phase transitions and weight loss from 20 to 1200°C were determined using a heating ramp of 10°C/min.

*Single cell gel electrophoresis assay*

For the determination of genotoxic damage caused by fibers PCL and PCL-CeO₂ with and without surfactant were used single cell gel electrophoresis assay on bean cells (*Vicia faba*), using as protocol described by Gichner (2003). 60 beans (*Vicia faba*) were germinated in moist chambers for 4 days at 20 °C. Then 2 cm of the roots were cut and are introduced into 20 ml of PBS (pH = 7.4), sealed and refrigerated at 4 °C in the absence of light. For each treatment three seedlings of *V. faba* were selected, of which 7 cuts of approximately 10 mm were made from the root, omitting the meristem and distal regions; Cuts were performed in 250 μl of PBS (pH = 7.4). 50 μL of the cells were then taken and embedded in 50 μL 1% low melting point agarose then 80 μL were taken and deposited in 50 μL of 1% normal melting point agarose; then 1x1 cm cuts of the PCL and CeO₂ fibers were made at different concentrations of CeO₂ (0.25, 0.5, 1 and 1.5%)
and exposed to *V. faba* cells incubating at 4 °C for 24 h; for the positive control, *V. faba* cells directly exposed to potassium dichromate (K₂Cr₂O₇, 0.05 M) were used for 2 h; while intact cells were used for the negative control.

Each treatment was performed in duplicate; after 24 h of treatment, the cells were subjected to preincubation with electrophoresis buffer (pH = 13) for 15 min; then the electrophoresis was started at 0.74 V/cm (25 V, 300 mA) for 20 minutes at a temperature of 4 °C; Neutralized with 0.4 M Tris for 5 min (in triplicate), fixing the nuclei with 100% ethanol for 15 min. The 1x ethidium bromide was finally used as the nucleic acid enhancer and then analyzed by epifluorescence microscopy (ZEISS®), using a 515-560 nm excitation filter and a 590 nm barrier filter.

3. Results and Discussions

The particle CeO₂ was characterized by X-ray diffraction (Figure 1) obtaining characteristic diffraction peaks of the fluorite type cubic structure of cerium oxide, according to its JCPDS card number: 34-394 (Joint Committee on Powder Diffraction Standard) [13].

![CeO₂ Diffractogram](image)

**Figure 1:** Diffractogram of the characteristic planes of the fluorite phase.

*PCL fibers with and without surfactant*

Table I shows the processing parameters and the obtained diameter of the PCL composite fibers with and without the use of the nonionic surfactant. When the surfactant was added, a larger diameter of fiber was obtained in comparison to fiber without surfactant. The diameter of fiber with surfactant was higher because the surface tension of the polymer solution decreased [14]. On the other hand, the processing parameters that influence in this case are the distance and the flow, to a greater distance and smaller flow, smaller fibers are obtained [15].
Table 1: Diameters and processing parameters of PCL fibers.

<table>
<thead>
<tr>
<th>10% PCL</th>
<th>Temperature (˚C)</th>
<th>Humidity (%)</th>
<th>Flow (µL/m)</th>
<th>Voltage (kV)</th>
<th>Distance (cm)</th>
<th>*Diameter (µm)</th>
</tr>
</thead>
<tbody>
<tr>
<td>without surfactant</td>
<td>24</td>
<td>16</td>
<td>5</td>
<td>11</td>
<td>8</td>
<td>0.84±0.22</td>
</tr>
<tr>
<td>with surfactant</td>
<td>22</td>
<td>31</td>
<td>20</td>
<td>11</td>
<td>20</td>
<td>1.09±0.46</td>
</tr>
</tbody>
</table>

*Diameter obtained from the Motic Images plus 2.0®; SD, standard deviation.

PCL- CeO₂ fibers without surfactant
Table II shows the processing parameters as well the diameter of the fibers in the PCL-CeO₂ composite without the use of the nonionic surfactant. Smaller fibers were obtained by using a greater distance and a slower flow [15].

Table 2: Diameters and processing parameters of PCL-CeO₂ fibers without surfactant.

<table>
<thead>
<tr>
<th>Concentration CeO₂ (%)</th>
<th>Temperature (˚C)</th>
<th>Humidity (%)</th>
<th>Flow (µL/m)</th>
<th>Voltage (kV)</th>
<th>Distance (cm)</th>
<th>*Diameter (µm)</th>
</tr>
</thead>
<tbody>
<tr>
<td>0.25</td>
<td>20</td>
<td>16</td>
<td>5</td>
<td>11</td>
<td>14.5</td>
<td>0.71±0.30</td>
</tr>
<tr>
<td>0.5</td>
<td>21</td>
<td>16</td>
<td>10</td>
<td>10</td>
<td>13.5</td>
<td>0.85±0.33</td>
</tr>
<tr>
<td>1</td>
<td>20</td>
<td>16</td>
<td>15</td>
<td>11</td>
<td>11.5</td>
<td>0.79±0.31</td>
</tr>
<tr>
<td>1.5</td>
<td>20</td>
<td>16</td>
<td>15</td>
<td>11</td>
<td>14</td>
<td>0.76±0.29</td>
</tr>
</tbody>
</table>

*Diameter obtained from the Motic Images plus 2.0®; SD, standard deviation.

PCL- CeO₂ fibers with surfactant
Table III shows the processing parameters of the fibers with surfactant. Larger diameters are observed in comparison to the fibers obtained without the addition of the surfactant as shown in Figure 2. Nonionic surfactants contribute to the dispersion of ceramic suspensions, allowing the interface between a liquid and the particle to be controlled by improving the wetting property of the liquid [16].

Table 3: Diameter of PCL-CeO₂ fibers with use of surfactant.

<table>
<thead>
<tr>
<th>Concentration CeO₂ (%)</th>
<th>Temperature (˚C)</th>
<th>Humidity (%)</th>
<th>Flow (µL/m)</th>
<th>Voltage (kV)</th>
<th>Distance (cm)</th>
<th>*Diameter (µm)</th>
</tr>
</thead>
<tbody>
<tr>
<td>0.25</td>
<td>19</td>
<td>16</td>
<td>15</td>
<td>11</td>
<td>14</td>
<td>0.82±0.041</td>
</tr>
<tr>
<td>0.5</td>
<td>19</td>
<td>16</td>
<td>15</td>
<td>11</td>
<td>14</td>
<td>1.41±0.81</td>
</tr>
<tr>
<td>1</td>
<td>19</td>
<td>16</td>
<td>15</td>
<td>11</td>
<td>14</td>
<td>1.00±0.55</td>
</tr>
<tr>
<td>1.5</td>
<td>19</td>
<td>16</td>
<td>15</td>
<td>11</td>
<td>14</td>
<td>0.66±0.36</td>
</tr>
</tbody>
</table>

*Diameter obtained from the Motic Images plus 2.0®; SD, standard deviation.
**Confocal microscopy**

In order to determine whether the particles were homogeneously distributed within the fiber, confocal microscopy images by fluorescence at 488 cm$^{-1}$ were obtained. Micrographs revealed that the CeO$_2$ particles at a concentration of 1.5% are distributed unevenly within the fibers, forming agglomerates (Figure 3a). While with surfactant, the particle CeO$_2$ to 1.5% is evenly distributed within the fiber (Figure 3b).

Figure 4a shows CeO$_2$ particles at 0.025 % are not homogeneously distributed within the fibers when the surfactant is not used. Figure 4b shows that CeO$_2$ particles are well distributed when the surfactant is used. The observed behavior is due to the decrease of the surface tension between PCL polymer molecules and particles of CeO$_2$, causing the homogeneous dispersion of CeO$_2$ in PCL fiber through Van der Waals interactions.$^{[17]}$

![Figure 2: Diameter of PCL-CeO$_2$ fibers, with and without addition of nonionic surfactant.](image)

![Figure 3: Micrographs at 600 X of the fluorescence of CeO2 at 1.5% in fibers. a) without surfactant; b) with surfactant.](image)
Figure 4: Micrographs at 600 X of the fluorescence of 0.5% CeO2 in fibers. a) without surfactant; b) with surfactant

Scanning electron microscopy (SEM).
SEM micrographs (at 2000 X) show the microstructure of the PCL-CeO2 fiber without the use of surfactant. The distribution and shape of the PCL fiber as well as the dispersion of the CeO2 particle are not homogeneous (Figure 5 a). Figure 5 b shows a magnification of a CeO2 particles agglomerate (10,000 X) within a PCL fiber.

Figure 5: a) Micrograph of SEM at 2,000, microstructure of the PCL-CeO2 fiber and b) Micrograph at 10,000 of fluorescence 488 cm-1 of a CeO2 agglomeration in the PCL fiber.

Infrared spectroscopy (IR).
In Figure 6 a) characteristic bands of the CeO2 particle are observed, bands at 3417, 1632, and 1381 cm-1 are related to vibrations of absorbed water molecules, while the band at 533 cm-1 represents stretching vibrations of the union Ce-O \[^{18}\]. Figure 6 b) shows the bands of the functional groups of the PCL. The band at 1727 cm-1 corresponds to stretching vibrations of the carbonyl group (C = O); 1240 cm-1 band corresponds to asymmetric stretching vibrations of the ether group (C-O-C); 1190 cm-1 band corresponds to the stretching vibration of the ether linkage O-C-O; while the bands at 2949 cm-1 and 2865 cm-1 correspond to asymmetric and symmetrical
stretching vibrations of the methylene group (CH\textsubscript{2}), respectively. Finally, the band at 1293 cm\textsuperscript{-1} corresponds to stretching vibrations of the carbonyl (C=O) and alkane (C-C) groups\textsuperscript{[19]}. The vibration-related characteristic bands of the PCL and CeO\textsubscript{2} functional groups found in the PCL-CeO\textsubscript{2} fiber are shown in Figure 6c.

Figure 6: a) Infrared spectrum of the CeO\textsubscript{2} particle, b) Infrared spectrum of PCL and c) Infrared spectrum of the PCL-CeO\textsubscript{2} fiber.

**Thermal analysis of the PCL and PCL-CeO\textsubscript{2} fibers**

Figure 7 and 8 show the differential thermal analysis (DTA), Differential Scanning Calorimetry (DSC), Thermogravimetric Analysis (TGA) and derived for PCL and PCL-CeO\textsubscript{2}. The peaks found between 64 °C and 417 °C in the DTA curve correspond to the melting of the polymer and to the loss of organic material from the polymer, with weight loss around 98% for PCL fibers and 82% for PCL-CeO\textsubscript{2}. The increase in weight is possibly due to oxidation of residual carbon with increasing temperature. At temperatures above 600 °C, the fiber samples exhibit the same tendency, reflecting no transition in the observed thermograms\textsuperscript{[20]}. 

Single cell gel electrophoresis assay
Figures 9a and 9b show the morphology of healthy *V. faba* nuclei and damaged nuclei (comet) by potassium dichromate (K$_2$Cr$_2$O$_7$), respectively. While Figures 9 (c) and 9 (d) make a comparison between the Tail Length of the damaged cores of *V. faba* by the exposure of PCL and PCL-CeO$_2$ fibers at different concentrations (0.25, 0.5, 1 and 1.5%) and nuclei damaged by exposure to K$_2$Cr$_2$O$_7$, respectively.
Figure 9: Micrograph at 20X of the morphology of V. faba nuclei. a) Healthy nuclei; b) Nuclei damaged by K2Cr2O7; c) Nuclei damaged by PCL and PCL-CeO2 fibers; d) Nuclei damaged by K2Cr2O7.

DNA damage (tail moment) of V. faba nuclei was quantified through Comet Assay IV® program (Table IV) the program measures the length of the tail caused by the chromatin damage. A kruskal-Wallis test ($P \leq 0.05$) was performed with the statistical program IBM SPSS Statistics 22. The analysis showed that the tail moment distribution is the same with respect to the negative control and treatments of 10% PCL and PCL-CeO2 fibers whit surfactant at different concentrations of CeO2.

Table 4: Size of the tail moment of cells of Vicia faba.

<table>
<thead>
<tr>
<th>Treatments</th>
<th>*Mean (µm)</th>
<th>**SD</th>
</tr>
</thead>
<tbody>
<tr>
<td>Positive control</td>
<td>0.620</td>
<td>1.544</td>
</tr>
<tr>
<td>Negative control</td>
<td>7.00</td>
<td>2.025</td>
</tr>
<tr>
<td>PCL 10% + CeO2 0.25%</td>
<td>0.285</td>
<td>0.320</td>
</tr>
<tr>
<td>PCL 10% + CeO2 0.5%</td>
<td>0.202</td>
<td>0.196</td>
</tr>
<tr>
<td>PCL 10% + CeO2 1%</td>
<td>0.324</td>
<td>0.537</td>
</tr>
<tr>
<td>PCL 10% + CeO2 1.5%</td>
<td>0.519</td>
<td>1.381</td>
</tr>
<tr>
<td>PCL 10%</td>
<td>0.390</td>
<td>1.331</td>
</tr>
</tbody>
</table>

* Size of the tail moment obtained from the Comet Assay IV® program; ** SD, standard deviation.
An increased DNA damage in *V. faba* cells is observed for 10% PCL fibers without CeO$_2$ particles. Adding CeO$_2$ particles diminishes the DNA damage. However, using a 1.5% concentration causes a damage similar to the fibers without CeO$_2$ particles. The positive control presented greater damage compared to the fibers treatments of PCL and PCL-CeO$_2$ at different concentrations.

In order to corroborate the data Mann-Whitney test was performed. No significant differences between the negative control and the treatments of PCL and PCL-CeO$_2$ fibers at different concentrations were observed. A significant difference 10% PCL fibers and PCL-CeO$_2$ fibers at concentrations of 0.25, 0.5, 1, and 1.5% were found. A significant difference between the positive control and the fibers 10% PCL and PCL-CeO$_2$ with surfactant at concentrations of CeO$_2$: 0.25, 0.5, 1, 1.5% (α=0.05). Figure 10 resumes the behavior of the DNA tail moment of the nuclei of *V. faba* in error bars with a 95% confidence interval. Fibers of 10% with CeO$_2$ particles at different concentrations (0.25, 0.5, 1 and 1.5%) present low DNA damage of *V. faba* nuclei, the damage is considered non-significant as the tail moment was between 0 to 26 μm$^2$[21].

![Figure 10: Tail moment in nuclei of cells of Vicia faba of the different treatments.](image)

**4. Conclusions & Recommendations**

A PCL-CeO$_2$ composite material was produced. PCL-CeO$_2$ fibers were obtained by the electrospinning technique controlling the parameters: temperature, humidity, voltage and distance. A smaller diameter (0.66 μm) was obtained using a distance of 14 cm, a flow of 15 μL/m, a humidity of 16%, a temperature of 19 °C. The PCL-CeO$_2$ composite with a CeO$_2$ concentration of 0.25% and surfactant showed a homogeneous distribution of the cerium particles, fibers had 0.8 μm diameter. Infrared spectroscopy, confocal and electron microscopy techniques verify the existence of CeO$_2$ particles within the PCL fibers. The thermal analysis of the PCL-CeO$_2$ composite demonstrates the phase transitions of the polymer and at 475 °C the
organic material of the polymer is completely lost, leaving only the corresponding percentage of the ceria particles. The genotoxic study of the PCL-CeO₂ composite by single cell gel electrophoresis assay showed that the material has no genotoxic effects on *Vicia faba* cells.

**Acknowledgements**

Authors gratefully acknowledge financial support by CONACYT and PROMEP. S. Reyes-Lopez thanks Dr. Carlos Rodriguez for SEM images.

**Conflict of interests**

The authors declare that there is no conflict of interests regarding the publication of this paper.

**References**


*Corresponding author.
E-mail address: simon.reyes@uacj.mx