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# CAREER OPTIONS IN SECURITIES MARKETS

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## ABSTRACT

The research article focus on three things such as Career, Options and Securities Markets. So, the student in the school days itself should have career planning for a better career development in the future long run. The study is based on secondary data. So, the data has been taken from various secondary sources like, Google, Youtube videos, Facebook, daily newspapers etc. Career refers to an occupation or profession planned by an individual. It is a long term plan must be done by himself for better success in his life. It is observed that career planning helps for career development. So, students and employees must have passion of learning either online or offline programs. NISM has around thirty three certification activities for capacity building among Indian passionate graduates. Both online and offline free classes are done to make use of certificate programs. 250 plus exam centers are available across India to give exams to validate certificate courses. There is a concept of ATM (Alternative Time Management) which says that students must shift their valuable time from wasting activities (Social Media) to productive activities. This increase the productivity of candidates in their career development. ASK model can be applied in this regard

**Keywords:** Alternative Time Management, NISM, Career Option, NSE, BSE etc

## 1. INTRODUCTION

There is scarcity in employment activities in the entire world. It is more the country like India due to thick population. So, instead of struggling after completing the degree, the students have to plan their career development from school level itself. There will be large time frame to plan from school, college and university studies. The title has three parts such as career, options and securities markets. Career means planned job journey from beginning to end. The student must have passion about his future professional journey. This will provide ample job opportunities. The term options means alternatives in jobs. Students must have alternatives to select the best job. The skills such as subject skills, technical skills will help in this regard. Options give large survival approach to the candidates. Securities market is the huge and riskiest place where people are saving and investing huge money on daily basis. National Stock Exchange (NSE) and Bombay Stock Exchange (BSE) are main and popular stock exchanges in India. These are regulated by the Securities and Exchange Board of India (SEBI)

So, the students and educational institutions must seriously play a significant role in career developmental activities. There is no time bound for these activities. It means there is no start and end for these activities. The student particularly must have PASSION in attending career planning activities from school level itself. The educational institutions like primary schools, middle schools, high schools, PUC colleges, degree colleges and universities must join together in career building activities. The government role here is very significant for nation building through career building activities.

## 2. STATEMENT OF THE PROBLEM

Companies as well as students are struggling in matching employment activities. Students in spite of their various degrees are not getting jobs. Companies on the other hand are struggling to get right candidates in spite of huge population. So, present study is undertaken to solve the problem of both company as well as candidates.

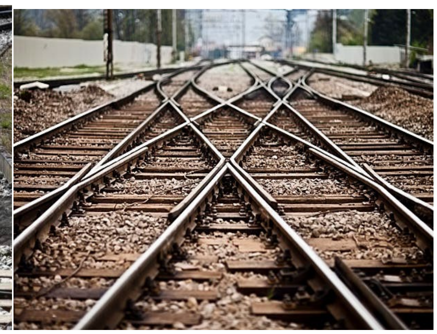
## 2.1. OBJECTIVES OF THE STUDY

- To study the relationship between career, options and securities markets.
- To study the initiatives of NISM for career development.
- To provide valid suggestions for companies and candidates for d=career development.

Career

Options


Securities Markets



## 3. UNIQUE MEANING OF CAREER

It refers to an occupation or profession planned by an individual. It is a long term plan must be done by himself for better success in his life. It must be started from school days itself. One who do career plan will have lots of options in his career. He can enjoy and survive in spite of heavy competition among many job seekers.

## 3.1. UNIQUE MEANING OF OPTIONS

There is a link between Career and Options. One who plan his career from the beginning itself will have lots of options. Securities market is a big market where knowledgeable person can enjoy with huge opportunities. He can select mutual fund, IPOs, futures and options, research analyst, investment banker etc.

Another angle of options is, assume one has got job either in government or private sector. There is always trouble to a hard worker from smart workers. It is not better for any one. But, un-matured people will always trouble the hard worker. So, if the hard worker has done really hard work from beginning, he will have various options in various areas, then he can play a chess game and enjoy for a while. Then, automatically smart workers will stop troubling him.


**Meaning of Securities Markets**


The markets where securities such as shares, debenture, bonds and bought and sold are called as securities markets. They play a vital role by connecting investors and companies. There are mainly two securities markets today such as National Stock Exchange and Bombay Stock Exchange. These securities exchanges are regulated by the Securities and Exchange Board of India.

## 4. NATIONAL INSTITUTE OF SECURITIES MARKETS (NISM)

It is a public trust established in 2006 by the SEBI. It runs capacity building activities to enhance quality standards in securities markets. It spread across 72 acre land with a serene environment and elegant infrastructure at Patalganga, near Mumbai – Pune Expressway. This picturesque campus offers full-time residential long-term programs for postgraduate students, short-term training programs and faculty development programs for market participants.

**Vision of NISM**

"To develop NISM as a premier Institution recognized globally, for capacity building in the securities market ecosystem with the objective of enhancing quality, compliance, efficiency and effectiveness of participants in the market. This would be achieved through skill development, professional training and certification."

**Certification Activity**

NISM has around thirty three certification activities for capacity building among Indian passionate graduates. Both online and offline free classes are done to make use of certificate programs. 250 plus exam centers are available across India to give exams to validate certificate courses. Examinations are conducted through computer labs. The examination question paper pattern is multiple choice questions where candidate is selecting one right answer among four options. The certificate has a validity of three years. So, every three years the candidates have to reactivate the knowledge level enhancement. Trainings are given both online and offline to upgrade the knowledge.

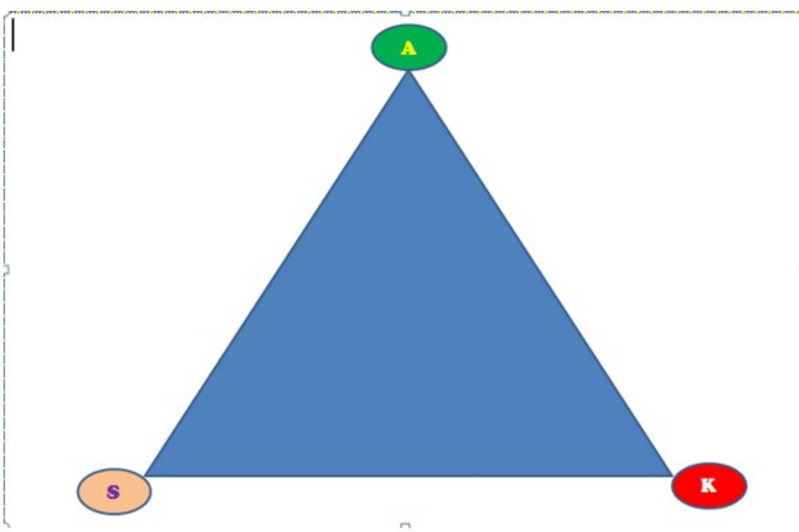
## 4.1. PURPOSES OF NISM CERTIFICATION

1) Offering mandatory Certification Examinations for associated persons in the securities market intermediaries.
2) Offering Continuing Professional Education (CPE) Programs for associated persons in the securities market intermediaries.
3) Upon expiry of the validity of the certificate obtained by an associated person, the certificate shall be re-validated by passing the relevant NISM Certification.
4) The certificate will be re-validated for a period of three years from the date of expiry of the existing certificate.

## 4.2. CAREER OPPORTUNITIES IN SECURITIES MARKETS

1) Investment Banker: Work with top firms advising on mergers, acquisitions, and fundraising.
2) Equity Analyst: Decode stock market trends and guide investors toward profitable decisions.
3) Risk Manager: Analyze and mitigate financial risks for organizations.
4) Portfolio Manager: Design and manage investment portfolios for institutions or High Net worth Individuals.
5) Compliance Officer: Ensure firms follow regulatory frameworks and maintain financial integrity.
6) Financial Planner & Wealth Manager: Help individuals and businesses achieve financial goals.

**ASK Model**




For any kind of career, ASK model can be applied, K stands for Knowledge which means Qualification, Degree, Certificate. B. Com, M. Com, BBA, MBA, PGDFM are examples of Qualification. S stands for Skills which means applied Knowledge. Computer skills, communication skills, job skills are examples for Skills. A stands for Attitude which means Behavior or mind set. Capacity building says that all three ingredients are important for a good career.

## 5. FINDINGS AND SUGGESTIONS

1) There is always trouble to a hard worker from smart workers. So, it is suggested to the hard workers to learn better during the student days itself.
2) As per Oxford university survey, Knowledge scores 15%, Skills scores 35% and Attitude scores 50% weightage.
3) So, moral education is preferred than marks based education.
4) So, it is suggested to the students to focus on soft skills development in addition to formal education.

5) The schools, colleges and universities must work on this skill development activities.
6) It is the duty of the student to grab the opportunities, so, he must have will developmental activities to support formal education.
7) The Securities Exchange Board of India is promoting online and offline investor's awareness programs to students and employees. So, people must make use of these programs.
8) It is observed that career planning helps for career development. So, students and employees must have passion of learning either online or offline programs.
9) NISM has around thirty three certification activities for capacity building among Indian passionate graduates.
10) Both online and offline free classes are done to make use of certificate programs.
11) 250 plus exam centers are available across India to give exams to validate certificate courses.
12) It is found that people are very much interested in entertainment activities. So, it is suggested to shift to enlightenment activities for the overall development of the country.
13) Some of main career opportunities in securities market are investment banking, securities analyst, risk manager, portfolio manager, compliance manager Etc

## 6. CONCLUSION

Career options available for a beautiful educated person. So, it can be concluded that it is the duty of the candidate to give focus on enlightenment activities rather than entertainment activities. There is a concept of ATM (Alternative Time Management) which says that students must shift their valuable time from wasting activities (Social Media) to productive activities. This increase the productivity of candidates in their career development. ASK model can be applied in this regard.

## CONFLICT OF INTERESTS

None.

## ACKNOWLEDGMENTS

None.

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