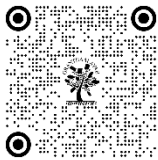


LANGUAGE AND COGNITION: EXAMINING THE ROLE OF APOHA IN BUDDHIST THEORIES OF MEANING AND SEMANTICS

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ABSTRACT

The Buddhist theory of Apoha (exclusion) offers a distinct and non-essentialist approach to meaning and cognition by rejecting intrinsic universals and elucidating linguistic categorization through negation. Rooted in the epistemological and logical traditions of Indian Buddhism, Apoha was systematically formulated by Dignāga and Dharmakīrti as a counterargument to realist theories of meaning, which assert the existence of inherent essences corresponding to linguistic categories. Instead of postulating a positive universal, Apoha posits that words acquire meaning by excluding what they do not denote. For instance, the term "cow" does not signify an inherent cow-ness but instead differentiates cows from non-cows. This exclusion-based model of meaning challenges ontological realism and presents an alternative perspective in which meaning is derived through negation rather than intrinsic identity.

This paper examines Apoha in relation to contemporary cognitive science, particularly in the contexts of category formation, prototype theory, and conceptual blending. Empirical research in cognitive science indicates that human categorization operates through flexible, context-sensitive processes rather than rigid universals, thereby aligning with the Buddhist view that meaning is constructed rather than inherent. Engaging with contemporary debates in the philosophy of language and cognitive semantics, this study positions Apoha in dialogue with alternative theoretical frameworks, including Aristotelian essentialism, Fregean reference theory, and Wittgensteinian perspectives on language. Through this comparative analysis, the paper explores how Apoha challenges foundational assumptions concerning meaning, reference, and conceptual representation.

Furthermore, this study critically engages with objections to Apoha, particularly those advanced by the Nyāya School, which argues that exclusion alone is insufficient for a comprehensive account of linguistic meaning. Nyāya philosophers contend that Apoha leads to an infinite regress or circular reasoning, as negation presupposes some form of positive content. By reassessing these critiques within the framework of cognitive science, this paper demonstrates how Apoha can be reinterpreted as a viable model for understanding the construction and communication of meaning, particularly in light of contemporary non-essentialist approaches to language. By integrating Buddhist epistemology with modern linguistic and cognitive theories, this study highlights the enduring significance of Apoha in contemporary discussions on language and cognition. The exclusion-based model of meaning proposed by Apoha aligns with non-essentialist and usage-based linguistic frameworks, offering valuable insights into how meaning is generated through contrast, differentiation, and contextual dependence. In doing so, this research underscores Apoha not only as a pivotal contribution to Indian philosophy but also as a theoretically robust and philosophically compelling framework for modern cognitive and linguistic inquiry.

Keywords: Apoha (Exclusion), Buddhist Epistemology, Universals (Jāti), Conceptual Differentiation, Prototype Theory, Connectionism, Conceptual Metaphor Theory, Semantic Anti-Realism, Cognitive Linguistics, Perceptual and Conceptual Cognition, (Pratyakṣa), (Vikalpa), Sambandha, Word-Meaning Relation

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1. INTRODUCTION

The study of language and cognition has been a fundamental concern in both philosophical inquiry and scientific investigation, shaping theoretical understandings of how human beings conceptualize and communicate meaning. Within the Indian philosophical tradition, Buddhist epistemologists formulated a distinctive semantic framework known

as Apoha (exclusion), which offers a novel alternative to realist theories of meaning. Emerging as a response to the classical debate on universals, Apoha challenges the assumption that words correspond to inherent essences or positive universals. Instead, it posits that meaning is derived through exclusion—words do not directly designate their referents but acquire meaning by negating what they are not. This theory, systematically expounded by Dignāga (5th–6th century CE) and further refined by Dharmakīrti (7th century CE), represents a significant departure from essentialist linguistic models and carries profound implications for both classical Indian epistemology and contemporary theories of language and cognition.

The Apoha doctrine was primarily developed in response to Brahmanical and Nyāya philosophical perspectives, which asserted that linguistic meaning is grounded in the existence of real universals (jāti) that provide a stable foundation for categorization. The Buddhist rejection of universals, rooted in their broader metaphysical commitment to impermanence and momentariness (kṣaṇikatva), necessitated an alternative account of meaning. Apoha articulates that words do not signify a shared ontological essence but instead acquire meaning through a process of differentiation and exclusion. For instance, the term "cow" does not derive its meaning from an intrinsic cow-ness but rather from the exclusion of all non-cows. This negative or differential approach to meaning aligns closely with constructivist theories in modern cognitive science, which suggest that human categorization is shaped by contrastive and context-dependent processes rather than by fixed, inherent structures. In contemporary cognitive science and the philosophy of language, Apoha exhibits striking parallels with non-essentialist and prototype-based models of categorization. Theories such as Wittgenstein's family resemblance, Rosch's prototype theory, and Lakoff's conceptual metaphor theory resonate with the Buddhist assertion that meaning is constructed relationally and experientially rather than through the invocation of fixed universal entities. Additionally, research in cognitive neuroscience suggests that language comprehension and concept formation are dynamic processes that involve associative networks rather than the recognition of predetermined, essential meanings. This study seeks to explore these intersections by examining Apoha through the lens of contemporary cognitive theories, thereby illustrating its continued relevance to debates on language, meaning, and categorization.

This paper will first examine the historical evolution of Apoha, situating its development within the broader discourse on semantics in Indian philosophy. It will then critically engage with objections to the theory, particularly those advanced by the Nyāya School, which argues that exclusion alone is insufficient to account for linguistic meaning. Further, this study will investigate how modern linguistic and cognitive frameworks can provide a renewed understanding of Apoha, positioning it as a viable alternative to traditional referentialist theories of meaning. By integrating Buddhist epistemology with contemporary linguistic and cognitive models, this research aims to demonstrate that Apoha is not merely an artefact of historical philosophical discourse but an innovative and significant contribution to the study of language and cognition.

2. THE BUDDHIST PHILOSOPHY OF LANGUAGE AND COGNITION

The Buddhist tradition offers a distinctive and philosophically sophisticated perspective on language and cognition, deeply embedded in its broader epistemological and metaphysical commitments. Unlike classical Indian philosophical schools such as Nyāya and Mīmāṃsā, which assert the existence of universals (jāti) as the foundation of linguistic meaning, Buddhist scholars—most notably Dignāga (5th–6th century CE) and Dharmakīrti (7th century CE)—developed an alternative semantic framework centered on the theory of Apoha (exclusion). This theory advances a non-essentialist understanding of meaning, challenging the assumption that words directly denote intrinsic properties. Instead, Apoha posits that words acquire meaning not through a direct reference to an inherent essence but through the exclusion of what they do not signify. Consequently, the Buddhist philosophy of language and cognition is intrinsically linked to its epistemological stance, emphasizing the constructed and relational nature of linguistic meaning.

A fundamental tenet of Buddhist thought is the rejection of independently existing entities (svabhāva), a principle that extends to its theory of language. In contrast to realist traditions, which maintain that words correspond to real universals that provide a stable foundation for reference, Buddhist logicians argue that universals are conceptual constructs with no ontological reality. This perspective aligns with the Buddhist doctrines of impermanence (kṣaṇikatva) and emptiness (śūnyatā), both of which deny the existence of fixed essences. As a result, language is not perceived as a system that mirrors an objective and immutable reality but rather as a cognitive mechanism that structures experience through conceptual distinctions. This approach fundamentally challenges the assumption that words possess an inherent

connection to their referents, proposing instead that meaning is generated through a process of differentiation and negation.

Dignāga's formulation of Apoha represents a pivotal contribution to Buddhist linguistic philosophy. He argues that a term such as "cow" does not derive its meaning from an inherent cow-ness but rather through the exclusion of all non-cows. This negative or contrastive definition of meaning addresses a fundamental problem in Indian linguistic thought: if words were to signify fixed universals, how could the diversity of individual instances be accounted for? By explaining meaning through exclusion, Apoha provides a more flexible and context-sensitive model of linguistic reference. Dharmakīrti further developed this theory, linking it to the broader Buddhist epistemological framework. He posited that conceptual knowledge (vikalpa) operates fundamentally as a process of differentiation, wherein the mind constructs categories by negating what does not belong. According to this perspective, language does not disclose an objective reality but instead organizes perceptual experiences into cognitively accessible structures.

This anti-essentialist and relational approach to meaning finds strong parallels in contemporary cognitive science. Eleanor Rosch's prototype theory suggests that categories are not rigidly defined but rather consist of a network of overlapping similarities, akin to Wittgenstein's notion of family resemblance. Similarly, connectionist models of cognition emphasize that conceptual understanding arises through associative networks rather than the identification of fixed, predefined structures. These insights parallel the Buddhist assertion that linguistic categories emerge from experiential contrasts and contextual distinctions rather than from an inherent ontological foundation. Furthermore, research in cognitive linguistics, particularly George Lakoff's conceptual metaphor theory, reinforces the idea that meaning is constructed through relational and embodied processes rather than being grounded in objective referents.

The implications of the Buddhist philosophy of language extend beyond theoretical discourse, challenging the fundamental assumptions of both classical Indian and contemporary Western linguistic thought. By rejecting the premise that language corresponds to stable, universal meanings, the Apoha theory offers a dynamic and context-sensitive framework for understanding how meaning is generated. This perspective not only critiques essentialist models of reference but also aligns with modern cognitive approaches that conceptualize language as an adaptive and evolving system. By bridging ancient Buddhist epistemology with contemporary linguistic and cognitive models, Apoha continues to provide valuable insights into on-going debates concerning language, meaning, and cognition.

3. BRIDGING LANGUAGE AND THOUGHT THROUGH APOHA

The relationship between language and thought has been a central theme in both classical and contemporary philosophical discourse. Within the Buddhist tradition, the theory of Apoha (exclusion) offers a distinctive framework for understanding this relationship by positing that linguistic meaning emerges through differentiation rather than through direct reference to inherent essences. This non-essentialist model of meaning challenges the assumption that words correspond to fixed universals, instead emphasizing the dynamic and context-dependent nature of language and cognition. By examining Apoha in relation to cognitive science and linguistic theory, it becomes evident that this Buddhist perspective provides a compelling framework for bridging language and thought.

In the classical Indian philosophical tradition, debates concerning linguistic reference have frequently revolved around whether words denote real universals (jāti) or function merely as conventional designations. While realist traditions such as Nyāya and Mīmāṃsā maintain that linguistic meaning is underpinned by stable universals, Buddhist epistemologists reject this view, arguing that linguistic categories are constructed through cognitive processes rather than reflecting an independent reality. Apoha, as articulated by Dignāga and refined by Dharmakīrti, asserts that words do not directly signify positive entities but instead acquire meaning by excluding what they do not designate. This exclusion-based or differential approach to meaning closely aligns with cognitive models that conceptualize categorization as an emergent and relational process rather than one based on fixed essences.

From a cognitive perspective, human thought depends on the ability to classify and differentiate phenomena based on experiential contrasts rather than rigidly defined categories. The Apoha theory corresponds with contemporary cognitive science, particularly prototype theory and connectionist models, which suggest that conceptual categories are not defined by strict boundaries but rather by networks of overlapping similarities. This perspective aligns with the Buddhist claim that meaning is not an intrinsic property of words but is instead constructed through mental distinctions and contextual application.

Furthermore, research in cognitive linguistics, particularly the work of George Lakoff and Mark Johnson, has demonstrated that human thought is shaped by conceptual metaphors and embodied experiences rather than by rigid logical structures. This perspective supports the Buddhist argument that meaning does not emerge from a direct representation of reality but is instead shaped by cognitive processes that structure perception and experience. Thus, Apoha functions as a theoretical bridge between language and thought, illustrating how linguistic distinctions arise from cognitive mechanisms of exclusion and contrast.

By integrating Apoha with contemporary cognitive and linguistic theories, this study underscores its enduring relevance in discussions on language and cognition. The exclusion-based model of meaning not only challenges essentialist assumptions but also offers a flexible and context-sensitive account of how language structures human thought. This approach enriches Buddhist epistemology while contributing to broader debates on the nature of linguistic meaning and cognitive categorization, highlighting the continued significance of Apoha in contemporary linguistic and philosophical discourse.

4. COMPARATIVE PERSPECTIVES

The Buddhist theory of Apoha (exclusion) presents a distinctive and non-essentialist approach to semantics, challenging the essentialist accounts of linguistic meaning upheld by classical Indian philosophical schools such as Nyāya and Mīmāṃsā. While Apoha posits that meaning arises through differentiation and negation, Nyāya and Mīmāṃsā assert that linguistic meaning is grounded in universals (jāti) and conventionally established signification. This divergence in semantic theories reflects fundamental differences in their respective metaphysical and epistemological commitments. Furthermore, contemporary linguistic and cognitive theories, particularly prototype theory, conceptual metaphor theory, and connectionism, demonstrate conceptual affinities with Apoha, providing a modern framework for understanding its continued relevance in language and cognition studies.

5. COMPARISON WITH NYĀYA AND MĪMĀṂSĀ SEMANTIC THEORIES

The Nyāya School, which adheres to a realist epistemology, maintains that words refer to stable universals (jāti) that exist independently of individual instances. According to Nyāya philosophers such as Gautama and later Udayana, the term “cow” refers to an abstract universal cow-ness, which inheres in all individual cows. This realist framework assumes that linguistic reference is based on ontologically real categories, thus making language a reliable means of accessing external reality. Nyāya also upholds the doctrine of sambandha (relation) between words and their referents, asserting that meaning arises from an inherent and necessary connection between linguistic signs and the universals they denote.

In contrast, the Mīmāṃsā School, particularly in its Bhāṭṭa and Prābhākara traditions, emphasizes the conventional and scriptural authority of language. Unlike Nyāya, which grounds meaning in universals, Mīmāṃsā contends that linguistic meaning is established through śabda-pramāṇa (verbal testimony) and social convention. Kumārila Bhāṭṭa, a prominent Mīmāṃsaka philosopher, argues that words possess a fixed and eternal relationship with their meanings, ensuring their reliability in preserving Vedic knowledge. The Prābhākara school, by contrast, introduces a more context-dependent approach through the concept of anvītabhidhāna (connected signification), which suggests that words acquire meaning only within syntactic and contextual relationships.

Buddhist epistemologists, particularly Dignāga and Dharmakīrti, reject both the realist position of Nyāya and the conventionalism of Mīmāṃsā, arguing that words do not correspond to real universals or eternal linguistic relationships. Instead, Apoha proposes that words acquire meaning not through the positive assertion of a universal but through the exclusion of what does not belong to the referent class. This exclusion-based model of meaning challenges essentialist assumptions and offers a more flexible, context-sensitive understanding of linguistic reference.

6. RELEVANCE TO MODERN THEORIES OF LANGUAGE AND COGNITION

The Apoha theory aligns with several contemporary linguistic and cognitive frameworks that emphasize relational and experiential aspects of meaning construction. Prototype theory, developed by Eleanor Rosch, suggests that categories are not defined by rigid universals but by a network of overlapping similarities, a concept that closely parallels the exclusion-based categorization proposed in Apoha. Similarly, connectionist models of cognition propose that conceptual understanding arises through associative networks rather than through the recognition of fixed, predefined

definitions, resonating with the Buddhist perspective that meaning is constructed through differentiation rather than through reference to essential identities.

Furthermore, cognitive linguistics, particularly George Lakoff's conceptual metaphor theory, reinforces the idea that meaning is shaped by embodied and relational processes rather than by direct reference to abstract universals. This perspective supports the Apoha claim that meaning is context-dependent and emerges through contrastive relations rather than through reference to an inherent essence.

By integrating Apoha with both classical Indian and modern cognitive perspectives, this study highlights its continued relevance in contemporary discussions on language and cognition. The exclusion-based approach to meaning not only challenges essentialist linguistic assumptions but also aligns with contemporary theories that conceptualize language as an adaptive and evolving system shaped by relational and experiential factors. Thus, Apoha remains highly significant in both historical and modern linguistic and cognitive studies, offering a nuanced framework for understanding the nature of linguistic categorization and conceptual processing.

7. CONCLUSION

The Buddhist theory of Apoha (exclusion) presents a distinct and non-essentialist perspective on language and cognition, fundamentally challenging the traditional realist theories of meaning advocated by classical Indian philosophical schools such as Nyāya and Mīmāṃsā. By positing that words derive meaning not through direct reference to inherent universals but rather through a process of exclusion, Apoha offers an alternative semantic framework that aligns more closely with contemporary cognitive and linguistic theories. This study has explored the historical and philosophical development of Apoha, critically examined its reception and critiques, and positioned it in comparison with other Indian semantic theories, while also demonstrating its relevance to modern linguistic and cognitive theories.

In contrast to the Nyāya School, which maintains that words correspond to stable universals (jāti), and the Mīmāṃsā tradition, which emphasizes the conventional authority of linguistic meaning, Buddhist epistemologists such as Dignāga and Dharmakīrti contend that language does not provide direct access to objective reality. Instead, they propose that linguistic meaning emerges through differentiation, whereby words function as cognitive instruments that structure perception and knowledge. This exclusion-based model of meaning challenges essentialist assumptions regarding linguistic reference and underscores the relational and context-dependent nature of semantic categorization. By doing so, Apoha offers a dynamic and adaptable understanding of language, one that is more suitable for explaining how cognition processes and organizes meaning in a context-sensitive and fluid manner. This study has also demonstrated that Apoha shares significant conceptual affinities with contemporary cognitive and linguistic frameworks, reinforcing its continued relevance beyond its historical and philosophical origins in classical Indian thought. Theories such as prototype theory, conceptual metaphor theory, and connectionist models of cognition parallel the Buddhist assertion that meaning does not arise from fixed essences but rather from patterns of exclusion, relational structures, and embodied experiences. Apoha aligns with prototype theory's view that category formation is based on resemblance rather than rigid definitions, with conceptual metaphor theory's emphasis on meaning as shaped by embodied cognition, and with connectionism's assertion that conceptual understanding arises through dynamic associative networks. These theoretical parallels suggest that Buddhist semantic theory anticipated key insights in modern cognitive science, exemplifying its advanced and non-essentialist approach to meaning construction.

Furthermore, the implications of Apoha extend beyond theoretical discourse, offering a transformative perspective on how language functions as a cognitive mechanism for categorization, differentiation, and conceptual organization. By rejecting the notion that meaning is grounded in universals, Apoha introduces a model in which linguistic meaning is emergent, contextually determined, and shaped by cognitive experience. This perspective not only challenges traditional Indian realist approaches to semantics but also aligns with contemporary linguistic models that emphasize the evolving and dynamic nature of meaning. In this regard, Apoha serves as a conceptual bridge between classical Buddhist epistemology and modern cognitive science, demonstrating its continued relevance to ongoing discussions on language and cognition.

As linguistic and cognitive theories continue to develop, the principles of Apoha remain a valuable intellectual resource for exploring the complex relationship between language, thought, and perception. The study of Apoha invites further interdisciplinary engagement, integrating philosophical inquiry, cognitive science, and linguistics to deepen our understanding of how meaning is constructed and how cognition influences language use. By revisiting and reassessing

Apoha within this modern interdisciplinary framework, scholars can gain a more profound appreciation of its theoretical contributions and examine its potential applications in contemporary debates on semantics, cognition, and linguistic philosophy.

In conclusion, Apoha challenges traditional essentialist conceptions of language, provides an innovative framework for semantic analysis, and aligns with contemporary models of cognition and categorization. Its exclusion-based model of meaning remains a significant philosophical insight that continues to be relevant to both classical and modern linguistic and cognitive theories. By acknowledging its contributions, scholars can expand the discourse on meaning-making and cognition, incorporating Buddhist epistemology into broader discussions in philosophy, linguistics, and cognitive science. Through this synthesis, Apoha not only reaffirms its historical significance but also offers a profound conceptual framework for rethinking the nature of language, thought, and human understanding.

CONFLICT OF INTERESTS

None.

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